

PATENT APPLICATION**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE.**

In re Application of:

Joern Ostermann et al.

Serial No.: 10/003,092

Filed: November 2, 2001

**FOR: SYSTEM AND METHOD OF
CUSTOMIZING ANIMATED ENTITIES
FOR USE IN A MULTI-MEDIA
COMMUNICATION APPLICATION**

: Confirmation No.: 5335
: Attorney Ref.: 2000-0600D
: Art Unit: 2841
: Examiner: Unknown

INFORMATION DISCLOSURE STATEMENT

MAIL STOP: Patent Application
The Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. Unless otherwise indicated, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56(b).

1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA Application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required. The non-patent art can be found in US Application numbers 09/999,505 and 09/999,526.
2. The references were cited by or submitted to the Office in parent Application No. , which is relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these references are not attached. 37 C.F.R. § 1.98(d).

Respectfully submitted,

Date: December 1, 2004

By: 

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PATENT APPLICATION
 PTO/SB/08A MODIFIED

Substitute for Form 1449A/PTO		Complete if Known			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	10/003,092.		
(use as many sheets as necessary).		Filing Date	November 2, 2001		
		First Named Inventor	Joern Ostermann et al.		
		Group Art Unit	2841		
		Examiner Name	Unknown		
Sheet	of	2	Attorney Docket Number	2000-0600D	

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.*	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code* (if known)			
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		US 5,640,590		LUTHER, Willis J.	06-1997	
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		US 2003/0046160		PAZ-PUJALT et al.	03-2003	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. *Unique citation designation number. *See attached Kinds of U.S. Patent Documents. *Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.18 if possible. *Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08B MODIFIED

PATENT APPLICATION
PTO/SB/08A MODIFIED

Substitute for form 1448A/PTD.		Complete if Known				
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary).		Application Number	10/003,092			
		Filing Date	November 2, 2001			
		First Named Inventor	Joern Ostermann et al.			
		Group Art Unit	2841			
		Examiner Name	Unknown			
Sheet	2	of	2	Attorney Docket Number		2000-0600D

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite. No.*	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	David Kurlander, Tim Skelly, David Salesin: "Comic Chat", Proceedings of the 23 rd Annual Conference on Computer Graphics and Interactive Techniques, August 1996	<input type="checkbox"/>
	2	Pollack, "Happy in the East or Smiling in the West". New York Times. 12 August 1996.	<input type="checkbox"/>
	3	Pelachaud et al., "Generating Facial Expressions for Speech". Cognitive Science. Jan. 3, 1996. Vol. 20, no. 1, pp. 1-46.	<input type="checkbox"/>
	4		<input type="checkbox"/>
	5		<input type="checkbox"/>
	6		<input type="checkbox"/>

Examiner Signature	Date Considered	
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